



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
(Attorney Docket No. 11184.00002)

7A
RECEIVED
PATENT
TEST CENTER 1600/2900
DEC 13 2002

In re Application of:

Smith et al.

Serial No.: 09/869,777

Filed: September 21, 2001

For: Cryptate Compounds and Methods for
Diagnosis and Therapy

)
)
)
)
)
)
)
)
)
)
)

Before the Examiner (TBA)

Group Art Unit: 1615

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Applicants respectfully request the entry of the following Preliminary Amendment prior to the examination of the above-identified application.

In the Specification:

Please replace the paragraph beginning on page 2, line 11 with the following rewritten paragraph:

AI In one form of the compound of Formula (I), the functionalised linkage group Z is selected from the group of halogen or other leaving group, nitro, nitroso, imide, dione of the formula,

Banner & Witcoff, Ltd.
Ten South Wacker Drive
Chicago, Illinois 60606
(312) 715-1000



**CERTIFICATE OF MAILING
(PATENT)**

Express Mail No. EL957199305US

Deposited December 11, 2002

I hereby certify that the attached correspondence, identified below, is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Commissioner for Patents, Washington, D.C. 20231

By: _____

Patent Application of: Smith et al.

Title: Cryptate Compounds and Methods for Diagnosis and Therapy

Serial No.: 09/869,777

Items Enclosed:

1. Transmittal Letter in Duplicate
2. Preliminary Amendment
3. Return Receipt Post card

Attorney Docket No.: 11184.00002



12-12-02

1615

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Application Number 09/869,777

Filing Date September 21, 2001

First Named Inventor Smith et al.

Group Art Unit 1615

Examiner Name (TBA)

Total Number of Pages in This Submission

Attorney Docket Number 11184.00002

RECEIVED
DEC 13 2002
TECH CENTER 1600/2330**ENCLOSURES (check all that apply)**☐ Fee Transmittal Form☐ Fee Attached☐ Amendment / Response☐ After Final☐ Affidavits/declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☐ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☐ Response to Missing Parts/
Incomplete Application☐ Response to Missing
Parts under 37 CFR
1.52 or 1.53☐ Assignment Papers
(for an Application)☐ Drawing(s)☐ Licensing-related Papers☐ Petition☐ Petition to Convert to a
Provisional Application☐ Power of Attorney, Revocation
Change of Correspondence Address☐ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CD(s) _____☐ After Allowance Communication to
Group☐ Appeal Communication to Board of
Appeals and Interferences☐ Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☒ Other Enclosure(s)
(please identify below):**Preliminary Amendment
Exp. Mail EL957199305US
Return Receipt post card**

Remarks

**Please charge any additional fees or credit overpayment to Deposit Account No.
19-0733. A duplicate copy of this sheet is enclosed****SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**Firm
or
Individual nameBanner & Witcoff, Ltd.
Ten South Wacker Drive, Suite 3000
Chicago, IL 60606
Robert H. Resis (Reg. No. 32,168)

Signature

Date

December 11, 2002

CERTIFICATE OF MAILINGI hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope
addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: _____

Typed or printed name

Signature

Date

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any
comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark
Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for
Patents, Washington, DC 20231.